

Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box →

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37C.F.R. §1.53(b))

Attorney Docket No.		PC22001AJTJ
First Named Inventor or Application Identifier		Charlotte Moira Norfor Allerton, et al.
Title	Process For The Preparation Of Pyrazolo[4,3-d]pyrimidin-7-one Compounds and Intermediates Thereof	
Express Mail Label No.		

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Free Transmittal Form (e.g., *PTO/SB/17*)  
(*Submit an original, and a duplicate for fee processing*)
2. ☒ Specification [Total Pages 69]  
(*preferred arrangement set forth below*)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference in Microfilm Appendix
  
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 11.9) [Total sheets ]
4. ☒ Oath or Declaration [Total pages 4]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(*for continuation/divisional with Box 17 completed*)  
**[Note Box 5 below]**
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (*useable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b, is  
considered to be part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

- |    |                                                                                             |                                                |  |
|----|---------------------------------------------------------------------------------------------|------------------------------------------------|--|
| 5. | <input type="checkbox"/>                                                                    | Microfilm Computer Program ( <i>Appendix</i> ) |  |
| 7. | Nucleotide and/or Amino Acid Sequence Submission<br>( <i>if applicable, all necessary</i> ) |                                                |  |
| a. | <input type="checkbox"/>                                                                    | Computer Readable Copy                         |  |
| b. | <input type="checkbox"/>                                                                    | Paper Copy (identical to computer copy)        |  |
| c. | <input type="checkbox"/>                                                                    | Statement verifying identity of above copies   |  |

---

**ACCOMPANYING APPLICATION PARTS**


8.	<input checked="" type="checkbox"/>	Assignment Paper along with recordation cover sheet & Consent form with recordation cover sheet	
9.	<input type="checkbox"/>	37 C.F.R. § 3.73(b) Statement ( <i>when there is an assignee</i> )	<input type="checkbox"/> Power of Attorney
10.	<input type="checkbox"/>	English Translation Document ( <i>if applicable</i> )	
11.	<input checked="" type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations
12.	<input checked="" type="checkbox"/>	Preliminary Amendment	
13.	<input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) ( <i>Should be specifically itemized</i> )	
14.	<input type="checkbox"/>	"Small Entity" Statement(s) (PTO/SB/09-12)	<input type="checkbox"/> Statement filed in prior application, Status still proper and desired
15.	<input checked="" type="checkbox"/>	Certified Copy of Priority Documents(s) ( <i>if foreign priority is claimed</i> ) GB 0018660, 1 filed July 28, 2000 GB 0107526, 6 filed March 26, 2001 GB 0110251, 6 filed April 26, 2001	
14.	<input type="checkbox"/>	Other:	

**\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment.
- ☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No:          /
- Prior application information:    Examiner:                                     Group/Art Unit:

## 18. CORRESPONDENCE ADDRESS

☐ *Customer Number or Bar Code Label* (Insert Customer No. or Attach bar code label here) **or** ☒ *Correspondence address below*

Name	Gregg C. Benson				
Address	Pfizer Inc.				
Address	Patent Department, MS 4159, Eastern Point Road				
City	Groton	State	CT	Zip Code	06340
Country	United States Of America	Telephone	1-(860)-441-4901	Fax	1-(860)-441-5221
NAME (Print/type)	James T. Jones	Registration No. (Attorney/Agent)	30,561		
Signature		Date	11-15-26-2001		

UTILITY TRANSMITTAL PTO SB 05, 9/99, (1/1)

EXPRESS MAIL NO. 3671082940505

1042 U.S. PTO  
07/26/01

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.  
These are the fees effective October 1, 2000.

Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

Total Amount of Payment (\$710.00)

PTO/SB/17(2/98)  
Approved for use through 09/30/2000.  
OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Charlotte Moira Norfor Allerton
Examiner Name	To Be Assigned
Group/Art Unit	To Be Assigned
Attorney Docket No.	PC22001AJTJ

## METHOD OF PAYMENT (check one)

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-1445

Deposit Account Name

☒ Charge Any Additional 37 Fee Required Under C.F.R. §§ 1.1.6 and 1.17 ☐ Charge the Issue Fee Set in the Notice of Allowance.

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

BASIC FILING FEE			
Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
2	-20**	0	0
Independent Claims	2	3**	2
Multiple Dependent			

\*\* or number previously paid, if greater, For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	**Reissue independent claims over original patent
110 18	210 9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

## FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105 130	205 65			Surcharge - late fee or oath	
127 50	227 25			Surcharge-late provisional filing fee or cover sheet	
139 130	139 130			Non-English specification	
147 2,520	147 2,520			For filing a request for reexamination	
112 920*	112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*			Requesting publication of SIR after Examiner action	
115 110	215 55			Extension for reply within first month	
116 390	216 195			Extension for reply within second month	
117 690	217 445			Extension for reply within third month	
118 1,390	218 695			Extension for reply within fourth month	
128 1,890	228 945			Extension for reply within fifth month	
119 310	219 155			Notice of Appeal	
120 310	220 155			Filing a brief in support of an appeal	
121 270	221 135			Request for oral hearing	
138 1,510	138 1,510			Petition to institute a public use proceeding	
140 110	240 55			Petition to revive - unavoidable	
141 1,240	241 620			Petition to revive - unintentional	
142 1,240	242 620			Utility issue fee (or reissue)	
143 440	243 220			Design issue fee	
144 600	244 300			Plant issue fee	
122 130	122 130			Petitions to the Commissioner	
123 50	123 50			Petitions related to provisional applications	
126 240	126 240			Submission of Information Disclosure Statement	
581 40	581 40			Recording each patent assignment per property (times number of properties)	
146 710	246 355			Filing a submission after final rejection (37 CFR 1.129(a))	
149 710	249 355			For each additional invention to be examined (37 CFR 1.129(b))	
Other Fee (specify)					
Other Fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 710.00

## SUBMITTED BY

Type or Printed Name James T. Jones  
Signature *James T. Jones*

Date July 26, 2001

## Complete if Applicable

Reg. Number 30,561  
Deposit Account 16-1445  
User ID

EXPRESS MAIL NO. EL71082940545

FEE TRANSMITTAL PTO SB 17.DOT 10/00